



# PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/746,515	12/22/2000	John Baggs	81862.P187

**CONFIRMATION NO. 1054** 

**FORMALITIES LETTER** 

Jeffrey S. Smith BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Seventh Floor 12400 Wilshire Boulevard Los Angeles, CA 90025-1026

\*OC000000005731983\*

Date Mailed: 02/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/20/2001 AUSMAN1 00000014 09746515

**FILED UNDER 37 CFR 1.53(b)** 

01 FC:105

130.00 OP

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

    I hereby certify that this correspondence is being do with the United States Postal Service as first class.

sufficient postage in an envelope addressed Assistant Commissioner for Patenta, Washington, D.C.

		on	<b>JUNE</b>	12,2	50	
A copy of thi	s notice <u>MUST</u> be returned	with the reply.	Date of LITRIST	Deposit UPHER	P. MARSH	- 4U
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Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney's Docket No.: 81862P187

**Patent** 

Examiner: Not Yet-Assigned

Art Unit: 2663

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	App	lication	of:
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John Baggs et al.

Application No.: 09/746,515

Filed: December 22, 2000

For: DELIVERY OF A SERVICE PROGRAM

TO A DIGITAL SIGNAL PROCESSOR

WITHIN A MULTISERVICE PROCESSING SYSTEM

Assistant Commissioner for Patents Washington, D.C. 20231

### SUBMISSION OF FORMAL DRAWINGS

Sir:

Transmitted herewith are three (3) sheets of formal drawings for the above-identified application submitted in response to the notice regarding informal drawings.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: <u>June</u> 1≥, 2001

Lester J. Vincent Reg. No. 31,460

12400 Wilshire Boulevard Seventh Floor Los Angeles, California 90025-1026 (408) 720-8300 I hereby certify that this correspondence is being deposition with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Date of Deposit

CHISTOPHER B. MARSHALL

Name of Person Mailing Correspondence

Charachall

Date

Date



Attorney's Docke 81862P187

**Patent** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	
John Baggs et al.	Examiner: Not Yet Assigned
Application No.: 09/746,515	Art Unit: 2663
Filed: December 22, 2000	
For: DELIVERY OF A SERVICE PROGRAM ) TO A DIGITAL SIGNAL PROCESSOR ) WITHIN A MULTISERVICE ) PROCESSING SYSTEM )	I hereby certify that this correspondence is being deposite with the United States Postal Service as first class mall will sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 2021  on
Assistant Commissioner for Patents  Box Missing Parts	Date of Deposit  CHEISTOPHER P- MARS HALL  Many of Person Mailing Correspondence  2 - Many Mail  L 12

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION (FILING DATE GRANTED)

Sir:

Washington, D.C. 20231

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed February 14, 2001, please find enclosed:

- (1) two duly executed Declarations and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);
  - (3) a copy of the Notice to File Missing Parts of Application;

- (4) a Petition for a two month extension of time under 37 C.F.R. § 1.136(a); and
- (5) a check in the amount of \$390.00 in payment of the extension of time fee. If any additional fee is required, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: June 12, 2001

Lester J. Vincent Reg. No. 31,460

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 720-8300

SECTOR

PTO/SB/17(09-00)
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				TOTAL AMOUNT OF PAYMENT (\$)	520.00	
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	ation No.		9/746,51	5		
				22, 2000		
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1.	BASIC F	ILING F	EE			
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103	80	202	40	Independent claims in excess of 3		
104	270	202	135	Multiple dependent claim, if not paid	1	
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#### FEE CALCULATION (continued) **ADDITIONAL FEES** 3. <u>Large Entity</u> **Small Entity** Fee Fee Fee Fee Code (\$) Code (\$) **Fee Description** Fee Paid Surcharge - late filing fee or oath 130 205 105 65 130.00 127 50 227 25 Surcharge - late provisional filing fee or cover sheet 139 130 139 130 **Non-English specification** 2,520 147 147 2,520 For filing a request for reexamination 112 920\* 112 920\* Requesting publication of SIR prior to **Examiner action** 113 1,840\* 113 1,840\* Requesting publication of SIR after **Examiner action** Extension for response within first month 115 110 215 55 116 390 216 195 Extension for response within second month 390.00 117 890 217 445 Extension for response within third month 118 1,390 218 695 Extension for response within fourth month 128 1,890 228 945 Extension for response within fifth month 119 310 219 155 **Notice of Appeal** 120 310 220 155 Filing a brief in support of an appeal 121 270 221 135 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive unavoidably abandoned application or unavoidably delayed payment of issue fee 141 1,240 241 620 Petition to revive unintentionally abandoned application or unintentionally delayed payment of issue fee 142 1.240 242 620 Utility issue fee (or reissue) 143 440 243 220 Design issue fee 144 600 244 300 Plant issue fee 122 130 122 130 **Petitions to the Commissioner** 123 123 50 Petitions related to provisional applications 50 126 180 126 180 **Submission of Information Disclosure Stmt** 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 710 246 355 For filing a submission after final rejection (see 37 CFR 1.129(a)) 149 710 249 355 For each additional invention to be examined (see 37 CFR 1.129(b)) 179 710 279 355 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application Other fee (specify) Other fee (specify) SUBTOTAL (3) \$ 520.00 \*Reduced by Basic Filing Fee Paid SUBMITTED BY: Typed or Printed Name: Lester J. Vincent Date: June 12, 2001 Signature: Reg. Number: 31,460 Telephone Number: \_\_\_\_\_ (408) 720-8300

PTO/SB/35(11-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor <u>John Baggs</u> Title <u>DELIVERY OF A SERVICE PROGRAM TO A DIGITAL SIC PROCESSING SYSTEM</u> Attorney Docket No81862.P187	GNAL PROCESSOR WITHIN A MULTISERVICE
Alloney Docket No	
I hereby certify that the invention disclosed in the attached of an application filed in another country, or under a multile eighteen months after filing. I hereby request that the atta U.S.C. 122(b).	ateral agreement, that requires publication at
December 22, 2000	Cilley
Date	Signature
	Florin Corie
	Typed or Printed Name
	46,244
·	Registration No.
	-
This request must be signed in compliance with 37 CFR 1 filing.	.33(b) and submitted with the application <b>upon</b>
Applicant may rescind this nonpublication request at any tapplication not be published under 35 U.S.C. 122(b), the a eighteen months after the earliest claimed filing date for w	application will be scheduled for publication at
If applicant subsequently files an application directed to the in another country, or under a multilateral international agreighteen months after filing, the applicant must notify the such filing within forty-five (45) days after the date of filing	eement, that requires publication of applications United States Patent and Trademark Office of of such foreign or international application.

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231

-3-

11/28/00

#### <u>EXPRESS MAIL CERTIFICATE OF MAILING</u>

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Within A Multiservice Proces	sing System
BSTZ File No.: 81862.P187	Atty/Secty Initials: LJV/JSS/td
Data Mailada	Docket Due Date: * *
The following has been received in the U.S. I	Patent & Trademark Office on the date stamped hereon:
Amendment/Response ( pgs.)	Express Mail NaSLOT/ZIOTZ905 RI Check No. 32003
Appeal Brief ( pgs.) (in triplicate)	Month(s) Extension of Time Amt: \$710.00
Application - Utility ( <u>16</u> pgs., with cover and abstract)	information Disclosure Statement & PTO- 1449 ( pgs.) Check No
Application - Rule 1.53(b) Continuation ( pgs.)	Issue Fee Transmittal Amt:
Application - Rule 1.53(b) Divisional ( pgs.)	Notice of Appeal
Application - Rule 1.53(b) CIP ( pgs.)	Petition for Extension of Time
Application - Rule 1.53(d) CPA Transmittal ( pgs.)	Petition for
Application - Design ( pgs.)	Postcard
Application - PCT ( pgs.)	Power of Attorney ( pgs.)
Application - Provisional ( pgs.)	Preliminary Amendment ( pgs.)
Assignment and Cover Sheet	Reply Brief ( pgs.) Response to Notice of Missing Parts
Certificate of Mailing (Express Mail)	Small Entity Declaration for Indep. Inventor/Small Business
Declaration & POA (pgs.)	Transmittal Letter, in duplicate (4 pages)
Disclosure Docs & Orig & Copy of Inventor's Signed Later(	Fee Transmittal, in duplicate
Other:	